

**Hong Kong Open Access Task Force**  
**3<sup>rd</sup> Meeting**  
**25 March 2009**

Draft minutes of a meeting of the HK OA Task Force held on Wednesday, 25 Mar 2009 at 11:00am in SWT505, L5 Shaw Tower, Shaw Campus, HKBU.

Present:

- Prof. Tsoi Ah Chung (Chair)
- Prof. John Bacon-Shone
- Prof. Carmel McNaught
- Dr. Terry Web
- Dr. Anthony W. Ferguson
- Mr. David Palmer (secretary)

Apologies:

- Prof. T.C. Edwin Cheng

1. Review of progress to date.
2. We will redo the OAA paper to answer comments/criticism received to date. We will include a table showing the difference between Gold OA, and Green OA, and showing the different methods of Green OA.

<b>Gold OA – open access publishing</b>	<ol style="list-style-type: none"> <li>1) OA Journal</li> <li>2) Fee (subscription) based Journal               <ol style="list-style-type: none"> <li>a) Will allow OA on final version immediately, or</li> <li>b) Embargo period of “x” number of months, or</li> <li>c) “Hybrid” model; for money allows OA on article (Springer’s Open Choice)</li> </ol> </li> </ol>
<b>Green OA – self archiving [using 1 or more methods to the right]</b>	<ol style="list-style-type: none"> <li>1) Publisher allows self-archiving of article on either/both author’s personal web page &amp; institution’s web page (IR)</li> <li>2) Publisher allows archiving on author’s web page only</li> <li>3) Publisher allows archiving of final published version</li> <li>4) Publisher allows archiving of Post Print (this term is from the Sherpa/Romeo project which has the largest database of publisher policies), sometimes also called the “author’s manuscript”, or, “off-print(?)”.</li> <li>5) Publisher allows archiving of Pre Print – first version submitted by author to the publisher</li> <li>6) Publisher may allow some of the above, but with an embargo of “x” months after publication date</li> <li>7) Publisher may allow some of the above, but with some special condition: ie, must include logo, advertising, link to article in publisher’s web page, etc.</li> </ol>
<b>Publisher allows no form of OA</b>	

Suggested to also include,

- A list of publishers, which we know allow no form of OA [list appended]
- New OA mandates such as MIT
- Revised Author's Addendum

Revised OAA paper should recommend that all authors use the Author's Addendum with their publishers, at point of negotiation or signing the Publisher's Agreement.

An executive summary should be made showing how this revision clarifies and extends the previous paper. Perhaps this can be done instead with an explanatory note in the cover letter.

After revision, paper should be sent back to the Research Councils of each institution, to Research Group of the UGC, and copied to Roland Chin, and to Sir Brian Fenton (sp?), the consultant of the UGC Data Archive Project(?).

Tony Eastham of UST has left. Who is the new VP for research at UST?

3. John described the March 6<sup>th</sup> letter from UGC announcing an annual \$50m for projects in Knowledge Transfer, reporting KT, and measuring KT. This letter gave an algorithm for dividing this 50m between the institutions, as well as a promise of 20% extra money if an institution could show that it had done a good job.
4. Springer's Open Choice. Members thought that the offer of 500E per article for OA, was still too high, and that no support from the institutions or UGC could be gained for this. Agreed to announce this proposal in the revised OAA.
5. Data Archive. John reported recent developments. The sub-committee felt there was no need to reinvent software; but could copy from the ESRC in the UK (Essex?) and the NIH in the US (Michigan?). They do not want to make discipline specific archives. Their letter says they will seek bid from companies & institutions to provide the archive. John will work with Tony to draft a bid for HKU. It was noted that "public access" was to be provided on the Data Archive.

Carmel reported that, in the 1990s, any data for publications in Australia needed to be kept for 7 years.

John reported that the NIH's policy was that upon publication of research, the data set must be online.

6. It was suggested that we create a web page on OA in HK. John will ask SMT for a web page to be made for KT, and then make a link to this new page.
7. Ah Chung reported that the Australian ERA (<http://www.arc.gov.au/era/>) will use Scopus bibliometric data. The UK's REF will use bibliometric data from ISI and

Scopus.

8. The ERA list for Education covers journals outside ISI. A draft list is attached as 'ERAJnlRankings\_education\_June2008.xls'. As far as Carmel knows, the final list is still not available. Only the Physical, Chemical and Earth Sciences (PCE) list is available online ([http://www.arc.gov.au/era/journal\\_list.htm](http://www.arc.gov.au/era/journal_list.htm)).

## **Appendix I**

List below of 99 publishers who we know refuse all forms of OA. Our (HKU) database has approx 510 publishers, including these 99. Other estimates of OA, say that over 85% of all journals allow some form of OA.

Publisher
Academy of Management
Adis International Ltd
Adis Online
African Network of Scientific and Technological Institutions
American Academy of Audiology
American Academy of Business
American Academy of Implant Dentistry
American Academy of Neurology
American Association for Clinical Chemistry, Inc
American Association of Neurological Surgeons
American Astronomical Society
American Chemical Society
American College of Allergy, Asthma and Immunology
American College of Chest Physicians
American Dairy Science Association
American Dental Association
American Geographical Society
American Institute of Aeronautics and Astronautics, Inc
American Medical Association
American Meteorological Society
American Occupational Therapy Association
American Physiological Society
American Planning Association
American Public Health Association
American Society for Bone and Mineral Research
American Society for Clinical Laboratory Science
American Society for Nutrition
American Society for Pharmacology and Experimental Therapeutics (ASPET)
American Society of Limnology and Oceanography
American Society of Mechanical Engineers
American Society of Nephrology
American Society of Papyrologists
American Sociological Association
American Thoracic Society
Association for Global Business
Association for Politics and the Life Sciences
Association for Research in Vision and Ophthalmology
Australasian Academy of Critical Care Medicine
Australian Society of Anaesthetists
Begell House Inc
British Association of Hand Therapists (BAHT)
British Institute of Non-destructive Testing
British Trust for Ornithology
Current Medicine Group LLC
EMAP
Federation of American Societies for Experimental Biology

Future Medicine Ltd
Geological Society of America
Georgetown University Law Center
Guilford Publications, Inc
Henry Stewart Publications LLP
Human Factors and Ergonomics Society
I W A Publishing
Imperial College Press
INFORMS (Institute for Operations Research and Management Sciences)
InnoVision Health Media
Instytut Matematyczny Polskiej Akademii Nauk (Institute of Mathematics of Polish Academy of Sciences)
International Association for Food Protection
International History Review
International Institute of Anticancer Research
IRSA Publishing House
Japan Institute of Metals (日本金属学会)
John Wiley & Sons
Journal of Horticultural Science and Biotechnology
Kohlhammer
Landes Bioscience
Marine Biological Laboratory
Marine Technology Society
Mary Ann Liebert, Inc Publishers
Maths, Stats and OR Network (Mathstore)
National Youth Agency
Now Publishers
Oceanography Society
Philosophy of Science Association
Physicians Postgraduate Press, Inc
Project HOPE
Radiological Society of North America, Inc
RAND
RCN Publishing
Royal College of General Practitioners
Royal College of Midwives (RCM)
Royal College of Surgeons of England
Royal Society of Canada
Royal Society of Medicine Press Ltd
School of Management, University of Bath
Scientific World
Selper Ltd
Sheffield Academic Press
Société Géologique de France
Society for Sedimentary Geology (SEPM)
Society of Environmental Toxicology and Chemistry Press
St Jerome Publishing
Stanford University Law School
Stata Press
The Company of Biologists Ltd
UNESCO International Centre for Engineering Education
University Publishing Group, Inc
Verlag der Österreichischen Akademie der Wissenschaften (Austrian Academy of Sciences Press)
Wire Association International